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BANCA DELLO STATO		EPIQ BANKRUPTCY SOLUTIONS, LLC	
VIA HENRI GUISAN 5		Attn: Lehigh Brothers Holdings	
6500 BELLINZONA		Inc. Claims Processing	
SWITZERLAND		Rue / Street	
		757 THIRD AVENUE, 3RD FLOOR	
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Sede centrale

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Fax +41 91 803 71 70

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EPIQ Bankruptcy Solutions, LLC  
Attn. Lehman Brothers Holdings  
Claims Processing  
757 Third Avenue, 3rd Floor  
New York, NY 10017

Pratica trattata da:

**Maria Elena Cursio**

**Department Area Logistica Amministrazione Titoli**

**Tel. 0041.91.803.73.02**

Bellinzona, November 21, 2013

Vostro rif. TRANSFER OF CLAIM XS0347732892 - LEHMAN BROTHERS SECURITIES

Ihre Ref.

Votre réf.

- |   |  |
|---|--|
| <input type="checkbox"/> <i>per informazione<br/>zur Kenntnisnahme<br/>pour information</i>   | <input type="checkbox"/> <i>da ritornare p.f. entro il<br/>bitte zurücksenden bis<br/>à renvoyer s.v.p. jusqu'au</i> |
| <input checked="" type="checkbox"/> <i>per i vostri atti<br/>zu Ihren Akten<br/>pour vos dossiers</i>   | <input type="checkbox"/> <i>di ritorno con ringraziamenti<br/>mit Dank zurück<br/>en retour avec remerciements</i>   |
| <input type="checkbox"/> <i>come inteso<br/>gemäss Besprechung<br/>suivant l'accord</i>   | <input type="checkbox"/> <i>per la firma/visto<br/>zur Unterschrift/Visum<br/>pour la signature/visa</i>             |
| <input type="checkbox"/> <i>telefonare p.f.<br/>bitte anrufen<br/>téléphoner s.v.p.</i>   | <input type="checkbox"/> <i>per approvazione<br/>zur Genehmigung<br/>pour approbation</i>                            |
| <input checked="" type="checkbox"/> <i>per il disbrigo<br/>zur Erledigung<br/>pour règlement</i>  | <input type="checkbox"/> <i>ricevuto per errore<br/>irrtümlicherweise erhalten<br/>reçu par erreur</i>               |
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| <input type="checkbox"/> Please find herewith attached the "transfer of claim other than for security" duly filled in and signed by the Transferor Credit Suisse AG and the Transferee Banca dello Stato Cantone Ticino |  |

Distinti saluti

BANCA DELLO STATO DEL CANTONE TICINO

M. Redaelli

E. Snozzi

Annesso:



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banca dello Stato del Cantone Ticino

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Banca dello Stato del Cantone Ticino  
Viale H. Guisan 5  
6500 Bellinzona  
SWITZERLAND

Phone: 0041.91.803.72.99

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55829

Date Claim Filed: \_\_\_\_\_

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see

Schedule I): see Schedule I

Phone: 0041.44.332.86.17

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:  **BancaStato**

Transferee/Transferee's Agent

Date: 21.11.2013

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

M. Redaelli

E. Snozzi

**EVIDENCE OF TRANSFER OF CLAIM**

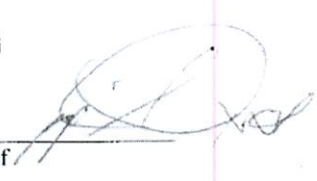
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**


For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **Banca dello Stato del Canton Ticino** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

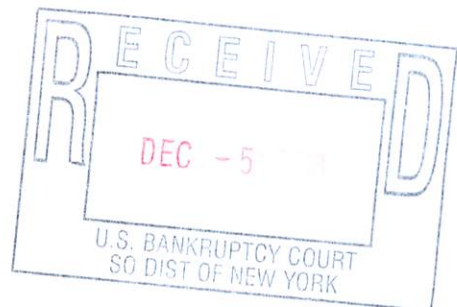
Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON OCTOBER 25, 2013.

**Credit Suisse AG**

By:   
Name: Adrian Graf  
Title: AVP

By:   
Name: Rita von Wyl  
Title: AVP



**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Number of Units or Currency and Nominal Amount</b>
XS0347732892	55829	October 29, 2009	Lehman Brothers Securities N.V.	USD 25'000.00